



**James Bryan Keith Ballentine CFP®, CRPC®**

**15 Halton Green Way  
Greenville, SC 29607**

**Phone: (864) 322-6046**

February 15, 2024

**FORM ADV PART 2  
BROCHURE SUPPLEMENT**

**This brochure supplement provides information about James Bryan Keith Ballentine CFP®, CRPC® that supplements the Discipline Wealth (DWS) brochure. You should have received a copy of that brochure. Please contact James Bryan Keith Ballentine CFP®, CRPC® if you did not receive a Discipline Wealth Solutions' brochure or if you have questions about this supplement. Mr. Ballantine's CRD number is 3183968.**

**Additional information about James Bryan Keith Ballentine CFP®, CRPC® is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

***Educational Background and Business Experience***

James Bryan Keith Ballentine CFP®, CRPC®  
Managing Principal and CCO  
Year of Birth: 1973

**Business Background:**

Discipline Wealth, Managing Principal and CCO, August 2021 - Present

Ballantine Capital Advisors, President, May 2006 - Present

Ballantine Capital Management, President, April 2002 - Present

TRIAD Advisors, Registered Representative, May 2006 – November 2021

**Educational Background:**

University of South Carolina, Bachelor of Science in Business, Graduated: 1995

CERTIFIED FINANCIAL PLANNER™ Practitioner (CFP®)

This program is sponsored by the CFP Board of Standards. Before applying for the CFP® Certification Examination, you need to meet the six course education requirements (or their equivalent) as set by CFP Board as well as a financial plan development course registered with CFP Board. Additionally, a bachelor's degree (or higher), or its equivalent, in any discipline, from an accredited college or university is required to attain CFP® certification. Additional requirements include successful completion of the CFP® Certification Examination, which tests your ability to apply your financial planning knowledge to client situations. The 10-hour exam is divided into three separate sessions. Because of the integrated nature of financial planning, however, each session may cover all topic areas (personal financial planning, risk management, income taxes, investments, retirement planning, and estate planning). In addition to the education requirements, there is an experience requirement, which is currently at least three years of qualifying full-time work experience in personal financial planning. There are additional requirements for candidates and registrants to pass Fitness Standards and a Background Check and to agree to abide by CFP Board's Code of Ethics and Professional Responsibility, Rules of Conduct and Financial Planning Practice Standards. Certificants must continue to meet continuing education requirements which presently include obtaining 30 hours of continuing education in selected subjects every two calendar years, including a two-hour CFP Ethics course. For more details, see [www.cfp.net](http://www.cfp.net).

## CHARTERED RETIREMENT PLANNING COUNSELOR (CRPC®)

Chartered Retirement Planning Counselor (CRPC) is a professional financial planning designation awarded by the College for Financial Planning. Individuals may earn the CRPC designation by completing a study program and passing a final multiple-choice examination. The CRPC program is developed with a focus on client-centered problem solving. Applicants gain in-depth knowledge of individuals' needs both before and after retirement. The College for Financial Planning describes the program as helping financial planners and advisers define and create a "road map for retirement" for their clients. Successful applicants earn the right to use the CRPC designation with their names for two years, which can improve job opportunities, professional reputation and pay. Every two years, CRPC professionals must complete 16 hours of continuing education and pay a small fee to continue using the designation.

Form ADV, Part 2B, Item 3

### ***Disciplinary Information***

Mr. Ballantine does not have any reportable disciplinary disclosures.

Form ADV, Part 2B, Item 4

### ***Other Business Activities***

James Bryan Keith Ballentine CFP®, CRPC® is also licensed and registered as an insurance agent to sell life, accident, and other lines of insurance for various insurance companies and is President of an affiliated entity, Ballentine Capital Management, an insurance company. Therefore, he will be able to purchase insurance products for any client in need of such services and will receive separate, yet typical compensation in the form of commissions for the purchase of insurance products.

Clients are not obligated to use DWS or Mr. Ballentine for these products. However, in such instances, there is no advisory fee associated with these investment and insurance products.

These practices represent a conflict of interest because it gives Mr. Ballantine an incentive to recommend products based on the commission amount received. This conflict is mitigated by the fact that Mr. Ballantine has a fiduciary responsibility to place the best interest of the client first and the clients are not required to purchase any products. Clients have the option to purchase these products through another insurance agent of their choosing.

Mr. Ballentine is also a published author with BC Publishing, LLC.

Form ADV, Part 2B, Item 5

### ***Additional Compensation***

James Bryan Keith Ballentine CFP®, CRPC® does not receive any economic benefit from anyone, who is not a client, for providing advisory services.

Form ADV, Part 2B, Item 6

***Supervision***

Discipline Wealth has written supervisory procedures in place that are reasonably designed to detect and prevent violations of the securities laws, rules, and regulations of the U.S. Securities and Exchange Commission. Mr. Anthony Colancecco is Discipline Wealth's Chief Compliance Officer, therefore he is responsible for all of the activities that occur on behalf of Discipline Wealth and its clients. Mr. Colancecco can be reached at (864) 322-6046.

Form ADV, Part 2B, Item 7

***Requirements for State-Registered Advisers***

This section is not applicable as Discipline Wealth Solutions is SEC registered and not state registered.



**Anthony Colancecco, Jr., CFP®, CRPC®**

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**Phone: (864) 322-6046**

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BROCHURE SUPPLEMENT**

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**Additional information about Anthony Colancecco, Jr. is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

***Educational Background and Business Experience***

Anthony Colancecco, Jr., CFP®, CRPC®  
Chief Compliance Officer  
Year of Birth: 1987

**Business Background:**

Discipline Wealth, Chief Compliance Officer, December 2023 - Present

Ballantine Capital Advisors, Chief Operating Officer, October 20019 - Present

TRIAD Advisors, Admin, September 2019 – November 2021

Efficient Advisors, LLC, Director of Advisor Services and Operations, January 2017 – October 2019

**Educational Background:**

Pennsylvania State University, Bachelor of Science in Financial Services, Graduated: 2009

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### ***Disciplinary Information***

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Form ADV, Part 2B, Item 4

### ***Other Business Activities***

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